

FORM TO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002

in an Application

(Use several sheets if necessary)

US Patent and Trademark Office

Docket No. 50623.335

Application No. 10/663,568

INFORMATION DISCLOSURE CITATION

Applicant Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit /6/5

U.S. PATENT DOCUMENTS Subclass Filing Date If Ref. No **Document** Date of Examiner Appropriate Initial Number **Patent** Joh 428 36 Α1 4,329,383 5/11/82 **A2** 3/29/88 Palmaz 128 343 4,733,665 343 Gianturco 128 Α3 4,800,882 1/31/89 424 468 11/21/89 Casey et al. 44 4,882,168 12/12/89 Wiktor 128 343 **A5** 4,886,062 4,941,870 7/17/90 Okada et al. 600 36 **A6** 128 772 12/18/90 Ofstead Α7 4,977,901 165 5,112,457 5/12/92 Marchant 204 Α8 488 Α9 5,165,919 11/24/92 Sasaki et al. 424 428 423.1 12/21/93 Opolski A10 5,272,012 423 A11 5,292,516 3/8/94 Viegas et al. 424 486 424 A12 5,298,260 3/29/94 Viegas et al. 424 427 4/5/94 Viegas et al. A13 5,300,295 5,306,501 4/26/94 Viegas et al. 424 423 A14 604 101 A15 7/12/94 5,328,471 Slepian 5,330,768 7/19/94 Park et al. 424 501 A16 5,380,299 1/10/95 Fearnot et al. 604 265 A17 486 5,417,981 5/23/95 Endo et al. 424 A18 424 426 A19 5,447,724 9/5/95 Helmus et al. **A20** 10/3/95 Marchant 424 426 5,455,040 10/31/95 Hubbell et al. 525 54.1 A21 5,462,990 **A22** 427 2.30 11/7/95 5,464,650 Berg et al. **A23** 5,569,463 10/29/96 Helmus et al. 424 426 EXAMINER Genera n-meite DATE CONSIDERED 09/15/06



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Steven Z. Wu
Filing Date Group Art Unit 1615

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0/8	A24	5,578,073	11/26/96	Haimovich et al.	623	1				
	A25	5,605,696	2/25/97	Eury et al.	424	423	· · · · · · · · · · · · · · · · · · ·			
	A26	5,609,629	3/11/97	Fearnot et al.	623	1				
	A27	5,624,411	4/29/97	Tuch	604	265				
	A28	5,628,730	5/13/97	Shapland et al.	604	21				
	A29	5,649,977	7/22/97	Campbell	623	1				
	A30	5,658,995	8/19/97	Kohn et al.	525	432				
	A31	5,665,382	9/9/97	Grinstaff et al.	424	450				
	A32	5,667,767	9/16/97	Greff et al.	424	9.411	·			
	A33	5,670,558	9/23/97	Onishi et al.	523	112				
	A34	5,679,400	10/21/97	Tuch	427	2.14				
	A35	5,700,286	12/23/97	Tartaglia et al.	623	1				
	A36	5,702,754	12/30/97	Zhong	427	2.12				
	A37	5;716,981	2/10/98	Hunter et al.	514	449				
	A38	5,735,897	4/7/98	Buirge	623	12				
	A39	5,746,998	5/5/98	Torchilin et al.	424	9.4				
	A40	5,776,184	7/7/98	Tuch	623	1				
	A41	5,788,979	8/4/98	Alt et al.	424	426				
	A42	5,800,392	9/1/98	Racchini	604	96				
	A43	5,820,917	10/13/98	Tuch	427	2.1				
	A44	5,824,048	10/20/98	Tuch	623	1				
Q/S	A45	5,824,049	10/20/98	Ragheb et al.	623	1				
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Filing Date

Group Art Unit

INFORMATION DISCLOSURE CITATION Applicant in an Application (Use several sheets if necessary)

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"]	A47	5,837,008	11/17/98	Berg et al.	623	1	
	A48	5,837,313	11/17/98	Ding et al.	427	2.21	
	A49	5,851,508	12/22/98	Greff et al.	424	9.411	
	A50	5,858,746	1/12/99	Hubbell et al.	435	177	
	A51	5,865,814	2/2/99	Tuch	604	265	
	A52	5,869,127	2/9/99	Zhong	427	2.12	
	A53	5,873,904	2/23/99	Ragheb et al.	623	1	
	A54	5,876,433	3/2/99	Lunn	623	1	
_	A55	5,877,224	3/2/99	Brocchini et al.	514	772.2	
	A56	5,925,720	7/20/99	Kataoka et al.	525	523	
	A57	5,955,509	9/21/99	Webber et al.	514	772.7	
	A58	5,971,954	10/26/99	Conway et al.	604	96	
	A59	5,980,928	11/9/99	Terry	424	427	
	A60	5,980,972	11/9/99	Ding	427	2.24	
	A61	5,997,517	12/7/99	Whitbourne	604	265	
	A62	6,010,530	1/4/00	Goicoechea	623	1	
	A63	6,015,541	1/18/00	Greff et al.	424	1.25	
	A64	6,033,582	3/7/00	Lee et al.	216	37	
	A65	6,042,875	3/28/00	Ding et al.	427	2.24	
	A66	6,051,648	4/18/00	Rhee et al.	525	54.1	
	A67	6,051,576	4/18/00	Ashton et al.	514	255	
\mathcal{W}	A68	6,056,993	5/2/00	Leidner et al.	427	2.25	,,·-

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6,096 6,098 6,110 6,110 6,110 7,6,120 8,6,120		5/9/00 5/9/00 6/27/00 8/1/00 8/8/00 8/29/00 8/29/00 9/5/00 9/19/00	DiMaio et al. Kabanov et al. Hostettler et al. Ragheb et al. Ding et al. Narciso, Jr. Whitbourne et al. Ken Ding et al. Hostettler et al.	514 514 428 623 623 606 424 623 623 428	13 781 423.3 1 1.46 153 423 1.1 1.43	
6,080 6,090 6,090 6,110 6,110 6,110 6,120 6,120	0,488 6,070 9,562 0,188 0,483 3,629 0,536	6/27/00 8/1/00 8/8/00 8/29/00 8/29/00 9/5/00 9/19/00	Hostettler et al. Ragheb et al. Ding et al. Narciso, Jr. Whitbourne et al. Ken Ding et al.	428 623 623 606 424 623 623	423.3 1 1.46 153 423 1.1 1.43	
6,096 6,098 6,110 6,110 6,110 7,6,120 8,6,120	5,070 9,562 0,188 0,483 3,629 0,536	8/1/00 8/8/00 8/29/00 8/29/00 9/5/00 9/19/00	Ragheb et al. Ding et al. Narciso, Jr. Whitbourne et al. Ken Ding et al.	623 623 606 424 623 623	1 1.46 153 423 1.1 1.43	
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6,110 6,110 6,110 7 6,120 8 6,120	0,188 0,483 3,629 0,536 0,904	8/29/00 8/29/00 9/5/00 9/19/00 9/19/00	Narciso, Jr. Whitbourne et al. Ken Ding et al.	606 424 623 623	153 423 1.1 1.43	
6 6,110 6 6,113 7 6,120 8 6,120	0,483 3,629 0,536 0,904	8/29/00 9/5/00 9/19/00 9/19/00	Whitbourne et al. Ken Ding et al.	623 623	423 1.1 1.43	· · · · · · · · · · · · · · · · · · ·
6,113 6,120 6,120	3,629 0,536 0,904	9/5/00 9/19/00 9/19/00	Ken Ding et al.	623 623	1.1	
6,120),536),904	9/19/00 9/19/00	Ding et al.	623	1.43	
6,120	0,904	9/19/00			 	
			Hostettler et al.	428	1 400 0	
6,121	1,027	0/40/00			423.3	
		9/19/00	Clapper et al.	435	180	
6,129	9,761	10/10/00	Hubbell	623	11	
6,153	3,252	11/28/00	Hossainy et al.	427	2.3	
6,165	5,212	12/26/00	Dereume et al.	623	1.13	
6,203	3,551	3/20/01	Wu	606	108	
6,231	1,600	5/15/01	Zhong	623	1.42	
6,240	0,616	6/5/01	Yan	29	527.2	
6,245	5,753	6/12/01	Byun et al.	514	56	
6,251	1,136	6/26/01	Guruwaiya et al.	623	1.46	
6,254	4,632	7/3/01	Wu et al.	623	1.15	
6,258	3,121	7/10/01	Yang et al.	623	1.46	
6,283	3,947	9/4/01	Mirzaee	604	264	
3 - 3 - 0	6,24 6,25 6,25 6,25 6,25 6,28	6,245,753 6,251,136 6,254,632 6,258,121 6,283,947	6,245,753 6/12/01 6,251,136 6/26/01 6,254,632 7/3/01 6,258,121 7/10/01 6,283,947 9/4/01	6,245,753 6/12/01 Byun et al. 6,251,136 6/26/01 Guruwaiya et al. 6,254,632 7/3/01 Wu et al. 6,258,121 7/10/01 Yang et al. 6,283,947 9/4/01 Mirzaee	6,245,753 6/12/01 Byun et al. 514 6,251,136 6/26/01 Guruwaiya et al. 623 6,254,632 7/3/01 Wu et al. 623 6,258,121 7/10/01 Yang et al. 623 6,283,947 9/4/01 Mirzaee 604	6,245,753 6/12/01 Byun et al. 514 56 6,251,136 6/26/01 Guruwaiya et al. 623 1.46 6,254,632 7/3/01 Wu et al. 623 1.15 6,258,121 7/10/01 Yang et al. 623 1.46 6,283,947 9/4/01 Mirzaee 604 264

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			U.S. PATI	ENT DOCUMENTS			
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
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7	A92	6,284,305	9/4/01	Ding et al.	427	2.28	
	A93	6,287,628	9/11/01	Hossainy et al.	427	2.3	
	A94	6,299,604	10/9/01	Ragheb et al.	604	265	
	A95	6,306,176	10/23/01	Whitbourne	623	23.59	
	A96	6,331,313	12/18/01	Wong et al.	424	427	
	A97	6,335,029	1/1/02	Kamath et al.	424	423	
	A98	6,346,110	2/12/02	Wu	606	108	
	A99	6,358,556	3/19/02	Ding et al.	427	2.24	
	A100	6,379,381	4/30/02	Hossainy et al.	623	1.42	
	A101	6,395,326	5/28/02	Castro et al.	427	2.24	
	A102	6,419,692	7/16/02	Yang et al.	623	1.15	
	A103	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A104	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
	A105	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00
	A106	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A107	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A108	6,527,801	3/4/03	Dutta	623	1.46	4/13/00
	A109	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
0/8	A110	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00
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	A113	6,544,582	4/8/03	Yoe	427	2.24	1/5/01		
	A114	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00		
	A115	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00		
	A116	6,565,659	5/20/03	Pacetti et al.	118	500	6/28/01		
	A117	6,572,644	6/3/03	Moein	623	1.11	6/27/01		
	A118	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00		
	A119	6,585,926	7/1/03	Mirzaee	264	400	8/31/00		
9/8	A120	6,605,154	8/12/03	Villareal	118	500	5/31/01		
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9/9	A121	2001/0018469	8/30/01	Chen et al.	523	121			
	A122	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15			
	A123	2002/0077693	6/20/02	Barclay et al.	623	1.13			
\perp	A124	2002/0091433	7/11/02	Ding et al.	623	1.2			
	A125	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01		
1	A126	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02		
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4	1	EP 0 514 406	11/25/92	European				
	В3	EP 0 604 022	6/29/94	European				
-	B4	EP 0 623 354	11/9/94	European				
	B5	EP 0 665 023	8/2/95	European				
	В6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
	B8	EP 0 809 999	12/3/97	European				
	В9	EP 0 832 655	4/1/98	European				
	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European				
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
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	B19	WO 91/12846	9/5/91	perub				
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EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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Initial		Number	Publication		<u> </u>		Yes	No
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) . 	B23	WO 97/45105	12/4/97	PCT				
a	B24	WO 97/46590	12/11/97	РСТ				
	B25	WO 98/17331	4/30/98	РСТ				
	B26	WO 98/36784	8/27/98	PČT				
	B27	WO 99/01118	1/14/99	PCT				
	B28	WO 99/38546	8/5/99	PCT				i
	B29	WO 99/63981	12/16/99	PCT				
	B30	WO 00/02599	1/20/00	РСТ				
	B31	WO 00/12147	3/9/00	P¢T				
	B32	WO 00/18446	4/6/00	PCT				
	B33	WO 00/64506	11/2/00	P¢T				
	B34	WO 01/01890	1/11/01	PCT				
	B35	WO 01/15751	3/8/01	РСТ				
	B36	WO 01/17577	3/15/01	Р¢Т				
	B37	WO 01/45763	6/28/01	P¢T				
	B38	WO 01/49338	7/12/01	PCT				
	B39	WO 01/74414	10/11/01	PCT		<u> </u>		
	B40	WO 02/03890	1/17/02	PCT				
	B41	WO 02/026162	4/4/02	PCT				
	B42	WO 02/34311	5/2/02	РСТ	$\perp \perp$			
	B43	WO 02/056790	7/25/02	PCT	\perp / \perp			
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	B46	WO 03/028780	4/10/03	PST WO						
	B47	WO 03/037223	5/8/03	pet wo						
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	C2	Anonymous, Heparin-coated stents cut complications by 30%, Clinica 732:17 (Nov. 18, 1996), http://www.dialogweb.com/cgi/document?reg=1061847871753, printed 8/25/03 (2 pages).								
	C3	Anonymous, <i>Rolling</i> 1 (Abstract 434009), Re		Loading Device for Therapeutic A 4-975 (June 2000).	gent Deliv	very or Co	ated Ste	ent		
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		Barbucci et al., <i>Coatir</i> Mater. Res. 25:1259-		available materials with a new he	parinizabi	le material	/, J. Bior	ned		
	C8	Chung et al., <i>Inner co</i> Journal of Controlled		n for drug delivery control of therm 3 (2000).	o-respons	sive polym	neric mid	elle		
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	C12	Helmus, Overview of	Biomedical Materi	als, MRS Bulletin, pp. 33-38 (Sept	. 1991).					
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FORM PTG-1449 (Modified) US DEPARTMENT OF COMMERCE Docket No. Application No. 10/663,568 Approved or use through 10/31/2002 50623.335 US Patent and Trademark Office ಹ್ರಾಟ್ INFORMATION DISCLOSURE CITATION Applicant Steven Z. Wu in an Application Filing Date Group Art Unit 1615 (Use several sheets if necessary) September 15, 2003 Unassigned OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) C14 Inoue et al., An AB block copolymer of oligo(methyl methacrylate) and poly(acrylic acid) for micellar delivery of hydrophobic drugs, Journal of Controlled Release 51:221-229 (1998). C15 Kataoka et al., Block copolymer micelles as vehicles for drug delivery, Journal of Controlled Release 24:119-132 (1993). Levy et al., Strategies For Treating Arterial Restenosis Using Polymeric Controlled Release Implants. Biotechnol, Bioact. Polym. [Proc. Am. Chem. Soc. Symp.], pp. 259-268 (1994). Liu et al., Drug release characteristics of unimolecular polymeric micelles, Journal of Controlled Release C17 68:167-174 (2000). Marconi et al., Covalent bonding of heparin to a vinyl copolymer for biomedical applications. Biomaterials 18(12):885-890 (1997). Matsumaru et al., Embolic Materials For Endovascular Treatment of Cerebral Lesions, J. Biomater, Sci. Polymer Edn 8(7):555-569 (1997). C20 Miyazaki et al., Antitumor Effect of Implanted Ethylene-Vinyl Alcohol Copolymer Matrices Containing Anticancer Agents on Ehrlich Ascites Carcinoma and P388 Leukemia in Mice, Chem. Pharm. Bull. 33(6) 2490-2498 (1985). C21 Miyazawa et al., Effects of Pemirolast and Tranilast on Intimal Thickening After Arterial Injury in the Rat, J. Cardiovasc. Pharmacol., pp. 157-162 (1997). C22 Nordrehaug et al., A novel biocompatible coating applied to coronary stents, European Heart Journal 14, p. 321 (P1694), Abstr. Suppl. (1993). C23 Ohsawa et al., Preventive Effects of an Antiallergic Drug, Pemirolast Potassium, on Restenosis After Percutaneous Transluminal Coronary Angioplasty, American Heart Journal 136(6):1081-1087 (Dec. 1998). C24 Ozaki et al., New Stent Technologies, Progress in Cardiovascular Diseases, Vol. XXXIX(2):129-140 (Sept./Oct. 1996). Pechar et al., Poly(ethylene glycol) Multiblock Copolymer as a Carrier of Anti-Cancer Drug Doxorubicin, Bioconjucate Chemistry 11(2):131-139 (Mar./Apr. 2000). C26 Peng et al., Role of polymers in improving the results of stenting in coronary arteries. Biomaterials 17:685-694 (1996). C27 Shigeno, Prevention of Cerebrovascular Spasm By Bosentan, Novel Endothelin Receptor, Chemical Abstract 125:212307 (1996). C28 van Beusekom et al., Coronary stent coatings, Coronary Artery Disease 5(7):590-596 (July 1994). C29 Wilensky et al., Methods and Devices for Local Drug Delivery in Coronary and Peripheral Arteries, Trends Cardiovasc. Med. 3(5):163-170 (1993). C30 Yokoyama et al., Characterization of physical entrapment and chemical conjugation of adriamycin in polymeric micelles and their design for in vivo delivery to a solid tumor, Journal of Controlled Release 50:79-92 (1998). EXAMINER DATE CONSIDERED EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.